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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/898,568	07/03/2001	Arvind Gupta	42390P11139	2719

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EXAMINER

VU, TUAN A

ART UNIT PAPER NUMBER

2193

DATE MAILED: 07/20/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Notice of Non-Compliant  
Amendment (37 CFR 1.121)**

Application No.

09/898,568

Examiner

Tuan A. Vu

Applicant(s)

GUPTA, ARVIND

Art Unit

2193

– The MAILING DATE of this communication appears on the cover sheet with the correspondence address –

The amendment document filed on 21 April 2006 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_.
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_.
- ☐ 3. Amendments to the drawings:
- ☐ A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - ☐ B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - ☐ C. Other \_\_\_\_\_.
- ☐ 4. Amendments to the claims:
- ☐ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☒ E. Other: See Continuation Sheet.
- ☐ 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):  
\_\_\_\_\_

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

**TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:**

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

**Extensions of time** are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

**Failure to timely respond** to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

\_\_\_\_\_  
Legal Instruments Examiner (LIE), if applicable

\_\_\_\_\_  
Telephone No.

Continuation of 4(e) Other: Applicant's response include claims 13-17 as active among the remaining 1-17, 20-26 and as indicated in the response, the status of claims 13-17 is that they are presently withdrawn and re-introduced later. The rules 1.141, 1.142, and 1.176 only state that upon election of restricted subject matter as presented by the Examiner, can the claims be withdrawn if the claims are not elected; and if so, such withdrawal would have to be done by the Examiner. Apparently there has been no restriction on record, hence the advent of having withdrawn claims from the part of the Applicant alone, would not be justified. Besides, there is no clear explanation as to why these claims are withdrawn as so to enable their future or potential reiteration in the Application to be justifiably correct or compliant according to the above CFRs. The amendments thus presented do not comply with the use of the 'withdrawn' status according to the prescribed manner as to how the amendments following cfr 1.121 are to be formulated, since this use is non conformant with the CFRs according to which only under specific conditions are any claims or set thereof eligible for a withdrawal, and that precludes the unilateral action from the Applicant's side without first a triggering action from the Office requiring an election, subsequent to which the establishing as to what is to be marked as withdrawn-- from consideration from the nonelected subject matter. The record shows no indication that an restriction/election action has been effectuated from the Office, therefore the inclusion of withdrawn claims is not proper.



TUAN A. VU, Au 2193

7-13-06